

Attachment A

DYNEGY ENERGY SERVICES, INC.

Contact Persons

a) issues related to processing this application:

Mark V. Allen

Vice President, Dynegy Energy Services, Inc.

1000 Louisiana Street, Suite 5800

Houston, TX 77002

Phone:(713) 767-8074

FAX: (713) 767-6239

e-mail: mval@dynegy.com

John J. Stauffacher

Senior Director, Regulatory Affairs, Dynegy Inc.

1000 Louisiana Street, Suite 5800

Houston, TX 77002

Phone:(713) 767-8051

FAX: (713) 507-6834

e-mail: jjst@dynegy.com

b) issues related to retail customers, including complaint resolution:

James Nordloh

Sr. Sales Representative

1211 West 22nd Suite 804

Oak Brook, IL 60521

Phone:(630) 472-9240

FAX: (630) 472-9279

DYNEGY ENERGY SERVICES, INC.

Attachment A - page 2

- c) technical issues, including scheduling of generation, transmission, and distribution, and issues arising from relationships with other providers of electric services:

Mark V. Allen

Vice President, Dynegy Energy Services, Inc.

1000 Louisiana Street, Suite 5800

Houston, TX 77002

Phone:(713) 767-8074

FAX: (713) 767-6239

e-mail: mval@dynegy.com

ATTACHMENT B

Attached herein is a copy of the Certificate of Authority for Dynegy Energy Services, Inc. to transact business in Illinois

09014901

8661/0067 14 001 Page 1 of 2
1999-10-28 12:15:04
Cook County Recorder 23.00

File Number 5733-882-2



210-4
State of Illinois
Office of
The Secretary of State

Whereas, APPLICATION FOR AMENDED CERTIFICATE OF AUTHORITY OF
DYNEGY ENERGY SERVICES COMPANY, INC.
INCORPORATED UNDER THE LAWS OF THE STATE OF DELAWARE HAS BEEN FILED
IN THE OFFICE OF THE SECRETARY OF STATE AS PROVIDED BY THE BUSINESS
CORPORATION ACT OF ILLINOIS, IN FORCE JULY 1, A.D. 1984.

Now Therefore, I, Jesse White, Secretary of State of the State of Illinois, by virtue of the powers vested in me by law, do hereby issue this certificate and attach hereto a copy of the Application of the aforesaid corporation.

In Testimony Whereof, I hereto set my hand and cause to be affixed the Great Seal of the State of Illinois, at the City of Springfield, this 25TH day of OCTOBER A.D. 1999 and of the Independence of the United States the two hundred and 24TH .



Jesse White

Secretary of State

APPLICATION FOR AMENDED
CERTIFICATE OF AUTHORITY TO
TRANSACTION BUSINESS IN ILLINOIS

File # 5733-882-2

Jesse White
Secretary of State
Department of Business Services
Springfield, IL 62756
Telephone (217) 782-1837
<http://www.sos.state.il.us>

FILED

OCT 25 1999

JESSE WHITE
SECRETARY OF STATE

SUBMIT IN DUPLICATE

This space for use by
Secretary of State

Date 10/25/99
Filing Fee \$25.00

Approved: 

1. (a) CORPORATE NAME: Dynegy Hub Services, Inc.

(b) If changed, NEW CORPORATE NAME: Dynegy Energy Services Company, Inc.

(c) (Complete only if the new corporate name is not available in this state.)

ASSUMED CORPORATE NAME: _____

(By electing this assumed name, the corporation hereby agrees **NOT** to use its corporate name in the transaction of business in Illinois. Form BCA 4.15 is attached.)

EXPEDITED

2. (a) State or Country of Incorporation: Delaware

OCT 25 1999

(b) If changed, Period of Duration: No Change

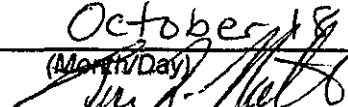
SECRETARY OF STATE

3. (a) If changed, Purpose or Purposes proposed to be pursued in transacting business in this State:
(If not sufficient space to cover this point, use reverse side or add one or more sheets of this size.)


4. This application is accompanied by a copy of the articles of Amendment to the Articles of Incorporation, if any, as evidence of any change of name, duration or purpose reported herein, such copy being duly authenticated by the proper **officer** of the state or country wherein the corporation is incorporated, which certification is not more than ninety (90) days old. The filing fee for the certified copy of the Articles of Amendment is \$25 unless the amendment acts as a restatement of the Articles of Incorporation, in which case the filing fee is \$100. In the event the statutory change was effected in a merger, a certified copy of the merger is required, plus applicable fee.

5. The undersigned corporation has caused this statement to be signed by its duly authorized **officers**, each of whom affirms, under penalties of perjury, that the facts stated herein are true. (All signatures must be in **BLACK INK**.)

Dated October 18, 1999
(Month/Day) (Year)

attested by 
(Signature of Secretary or Assistant Secretary)
Lisa Q. Metts, Asst. Secretary
(Type or Print Name and Title)

Dynegy Energy Services Company, Inc.
(Exact Name of Corporation)

by 
(Signature of President or Vice President)
John C. Herbert, Vice President
(Type or Print Name and Title)

ATTACHMENT C

Attached herein are documents providing proof of notification to each utility of Applicant's intent to serve in the utility's service **area**.

Dynegy Energy Services
1000 Louisiana Street, Suite 5800
Houston, Texas 77002
Phone 713.507.6400
Fax 713.767.8384
www.dynegy.com



December 22, 1999

AmerenUE
1901 Chouteau Ave., One Ameren Plaza
St. Louis, MO 63 103

Re: 83 Ill. Adm. Code 451; Section 16-115 of the Public Utilities Act

To Whom It May Concern:

Pursuant to the above-referenced provision, Dynegy Energy Services, Inc. ("DES") hereby submits notice of its intention to provide electric service in all service territories in the State of Illinois.

This correspondence serves as official notification that DES may, at some point in time, provide service to customers in AmerenUE's service area, subsequent to receiving certification as an Alternative Retail Electric Supplier from the Illinois Commerce Commission.

Sincerely,

Mark V. Allen
Vice President

Dynegy Energy Services
1000 Louisiana Street, Suite 5800
Houston, Texas 77002
Phone 713.507.6400
Fax 713.767.8384
www.dynegy.com



December 22, 1999

AmerenCIPS
1901 Chouteau Ave., One Ameren Plaza
St. Louis, MO 63 103

Re: 83 III. Adm. Code 451; Section 16-115 of the Public Utilities Act

To Whom It May Concern:

Pursuant to the above-referenced provision, Dynegy Energy Services, Inc. ("DES") hereby submits notice of its intention to provide electric service in all service territories in the State of Illinois.

This correspondence serves as official notification that DES may, at some point in time, provide service to customers in AmerenCIP's service area, subsequent to receiving certification as an Alternative Retail Electric Supplier from the Illinois Commerce Commission.

Sincerely,

Mark V. Allen
Vice President

Dynegy Energy Services
1000 Louisiana Street, Suite 5800
Houston, Texas 77002
Phone 713.507.6400
Fax 713.767.8384
www.dynegy.com



December 22, 1999

Central Illinois Light Company
300 Liberty Street
Peoria, IL 61602-1404

Re: 83 Ill. Adm. Code 451; Section 16-115 of the Public Utilities Act

To Whom It May Concern:

Pursuant to the above-referenced provision, Dynegy Energy Services, Inc. ("DES") hereby submits notice of its intention to provide electric service in all service territories in the State of Illinois.

This correspondence serves as official notification that DES may, at some point in time, provide service to customers in Central Illinois Light Company's service area, subsequent to receiving certification as an Alternative Retail Electric Supplier from the Illinois Commerce Commission.

Sincerely,

A handwritten signature in black ink, appearing to read "Mark V. Allen". The signature is fluid and cursive, with a long horizontal stroke at the end.

Mark V. Allen
Vice President

Dynegy Energy Services
1000 Louisiana Street, Suite 5800
Houston, Texas 77002
Phone 713.507.6400
Fax 713.767.8384
www.dynegy.com



December 22, 1999

Commonwealth Edison Company
P. O. Box 767
Chicago, IL 60690-0767

Re: 83 III. Adm. Code 451; Section 16-115 of the Public Utilities Act

To Whom It May Concern:

Pursuant to the above-referenced provision, Dynegy Energy Services, Inc. ("DES") hereby submits notice of its intention to provide electric service in all service territories in the State of Illinois.

This correspondence serves as official notification that DES may, at some point in time, provide service to customers in Commonwealth Edison Company's service area, subsequent to receiving certification as an Alternative Retail Electric Supplier from the Illinois Commerce Commission.

Sincerely,

A handwritten signature in black ink, appearing to read "Mark V. Allen". The signature is fluid and cursive, with a large loop at the end.

Mark V. Allen
Vice President

Dynegy Energy Services
1000 Louisiana Street, Suite 5800
Houston, Texas 77002
Phone 713.507.6400
Fax 713.767.8384
www.dynegy.com



December 22, 1999

Illinois Power
500 South 27th Street
Decatur, IL 62521-2200

Re: 83 III. Adm. Code 451; Section 16-115 of the Public Utilities Act

To Whom It May Concern:

Pursuant to the above-referenced provision, Dynegy Energy Services, Inc. ("DES") hereby submits notice of its intention to provide electric service in all service territories in the State of Illinois.

This correspondence serves as official notification that DES may, at some point in time, provide service to customers in Illinois Power's service area, subsequent to receiving certification as an Alternative Retail Electric Supplier from the Illinois Commerce Commission.

Sincerely,

A handwritten signature in black ink, appearing to read "Mark V. Allen", written over a horizontal line.

Mark V. Allen
Vice President

Dynegy Energy services
1000 Louisiana Street, Suite 5800
Houston, Texas 77002
Phone 713.507.6400
Fax 713.767.8384
www.dynegy.com



December 22, 1999

Interstate Power Company
1000 Main Street, P. O. Box 769
Dubuque, IA 52004-0769

Re: 83 Ill. Adm. Code 451; Section 16-115 of the Public Utilities Act

To Whom It May Concern:

Pursuant to the above-referenced provision, Dynegy Energy Services, Inc. ("DES") hereby submits notice of its intention to provide electric service in all service territories in the State of Illinois.

This correspondence serves as official notification that DES may, at some point in time, provide service to customers in Interstate Power Company's service area, subsequent to receiving certification as an Alternative Retail Electric Supplier from the Illinois Commerce Commission.

Sincerely,

A handwritten signature in black ink, appearing to read "Mark V. Allen", with a stylized flourish at the end.

Mark V. Allen
Vice President

Dynegy Energy Services
1000 Louisiana Street, Suite 5800
Houston, Texas 77002
Phone 713.507.6400
Fax 713.767.8384
www.dynegy.com



December 22, 1999

MidAmerican Energy Company
206 East Second Street
Davenport, IA 52801

Re: 83 Ill. Adm. Code 451; Section 16-115 of the Public Utilities Act

To Whom It May Concern:

Pursuant to the above-referenced provision, Dynegy Energy Services, Inc. ("DES") hereby submits notice of its intention to provide electric service in all service territories in the State of Illinois.

This correspondence serves as official notification that DES may, at some point in time, provide service to customers in MidAmerican Energy Company's service area, subsequent to receiving certification as an Alternative Retail Electric Supplier from the Illinois Commerce Commission.

Sincerely,

Mark V. Allen
Vice President

Dynegy Energy Services
1000 Louisiana Street, Suite 5800
Houston, Texas 77002
Phone 713.507.6400
Fax 713.767.8384
www.dynegy.com



December 22, 1999

Mt. Cannel Public Utility Company
316 Market Street
Mt. Cannel, IL 62863

Re: 83 III. Adm. Code 451; Section 16-115 of the Public Utilities Act

To Whom It May Concern:

Pursuant to the above-referenced provision, Dynegy Energy Services, Inc. ("DES") hereby submits notice of its intention to provide electric service in all service territories in the State of Illinois.

This correspondence serves as official notification that DES may, at some point in time, provide service to customers in Mt. Carmel Public Utility Company's service area, subsequent to receiving certification as an Alternative Retail Electric Supplier from the Illinois Commerce Commission.

Sincerely,

Mark V. Allen
Vice President

Dynegy Energy Services
1000 Louisiana Street, Suite 5800
Houston, Texas 77002
Phone 713.507.6400
Fax 713.767.8384
www.dynegy.com



December 22, 1999

South Beloit Water, Gas & Electric Co.
222 West Washington Avenue
Madison, WI 53701-0192

Re: **83 III. Adm. Code 4.51; Section 16-115 of the Public Utilities Act**

To Whom It May Concern:

Pursuant to the above-referenced provision, Dynegy Energy Services, Inc. ("DES") hereby submits notice of its intention to provide electric service in all service territories in the State of Illinois.

This correspondence serves as official notification that DES may, at some point in time, provide service to customers in South Beloit Water, Gas & Electric Co.'s service area, subsequent to receiving certification as an Alternative Retail Electric Supplier from the Illinois Commerce Commission.

Sincerely,

A handwritten signature in black ink, appearing to read "Mark V. Allen". The signature is fluid and cursive, with a large loop at the end.

Mark V. Allen
Vice President

ATTACHMENT D

Dynegy Energy Services, Inc.

Affiliated Companies of Applicant

The following affiliated companies of Applicant are involved in retail sales or purchases to customers in North America.

| <u>Affiliate:</u> | <u>Primary Address:</u> |
|--------------------------------|---|
| Dynegy Power Marketing, Inc. | 1000 Louisiana Street, Suite 5800 Houston, TX 77002 |
| Nicor Energy, L.L.C. | 1001 Warrenville Road, Suite 550 Lisle, IL 60532-4306 |
| Illinois Power Company | 500 South 27 th Street Decatur, IL 62521-2200 |
| Illinova Power Marketing, Inc. | (Same as above) |
| Illinova Energy Partners, Inc. | 1211 West 22 nd , Suite 804 Oak Brook, IL 60521 |

ATTACHMENT E

Attached herein is (i) a copy of *Applicant's* License Bond, (ii) Applicant's Parent Guarantee, and (iii) Dynegy's credit ratings and 1998 annual report.

LICENSE OR PERMIT BOND

KNOW ALL MEN BY THESE PRESENT:

That we, Dynegy Energy Services, Inc.
as Principal, and Safeco Insurance Company of America, a corporation
organized under the laws of the State of Washington with its principal office in the City of
Seattle as Surety, are held and firmly bound unto Peoole of the State of Illinois as
Obligee, in the full penal sum of Thirty Thousand and No/100-----Dollars(\$30,000.00),
lawful money of the United States, for the payment of which, well and truly to be made, we bind
ourselves, our heirs, executors, administrators, successors and assigns, jointly and severally,
firmly by these presents.

WHEREAS, the above bounden Principal has obtained or is about to obtain from the said
Obligee a license or permit to act as an Alternative Retail Electric Supplier (ARES) as provided
by the Act and to satisfy the bond requirement under Section 451.110 for the indicated term and
conditioned as hereinafter set forth; and the term of said license or permit is as indicated
opposite the block checked below:

☒ Beginning the 4th day of January, 2000, and
ending the 4th day of January, 2001

☐ Continuous, beginning the _____ day of _____

WHEREAS, the Principal is required by law to file with the Obligee a bond for the above
indicated term and conditioned as hereinafter set forth.

NOW, THEREFORE, THE CONDITION OF THIS OBLIGATION IS SUCH, That if
the above bounden Principal as such licensee or permittee shall indemnify said Obligee against
all loss, costs, expenses or damage to it caused by said Principal's non-compliance with or
breach of any laws, statues, ordinances, rules or regulations pertaining to such license or permit
issued to the Principal, which said breach or non-compliance shall occur during the term of this
bond, then this obligation shall be void, otherwise to remain in full force and effect.

PROVIDED, that if this bond is for a fixed term, it may be continued by Certificate
executed by the Surety hereon; and

PROVIDED FURTHER, that regardless of the number of years this bond shall continue
or be continued in force and of the number of premiums that shall be payable or paid, the Surety
shall not be liable hereunder for a larger amount, in the aggregate, than the amount of this bond,
and

PROVIDED FURTHER, that if the Surety shall so elect, this bond may be canceled by
the Surety as to subsequent liability by giving thirty (30) days notice in writing to said Obligee.

Signed, sealed and dated this 4th day of January, 2000

Dynegy Energy Services, Inc.

By: Gene S. Foster (Seal)

Gene S. Foster

Vice President, Taxation

Safeco Insurance Company of America

By: Philip N. Bair (Seal)

Philip N. Bair, Attorney-in-Fact